



IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Richard Lippmann, et al.	:	
Serial No.:	10/734,083	:	Examiner: Not Yet Assigned
Filed:	December 11, 2003	:	Art Unit: 2184
For:	A NETWORK SECURITY PLANNING ARCHITECTURE	:	Atty. Docket: MIS-00301

WRITTEN ASSERTION OF SMALL ENTITY STATUS
UNDER 37 CFR §1.27(a)(3)
NON-PROFIT ORGANIZATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of Massachusetts Institute of Technology.

This assertion is made on the ground that Massachusetts Institute of Technology is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or
- (d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country,

and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

Name: Karin K. Rivard
Title: Assistant Director/Counsel
Date: November 5, 2004

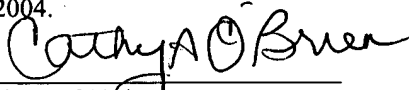


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Richard Lippmann, et al.	:	:
Serial No.:	10/734,083	:	Examiner: Not Yet Assigned
Filed:	December 11, 2003	:	Art Unit: 2184
For:	A NETWORK SECURITY PLANNING ARCHITECTURE	:	Atty. Docket: MIS-00301

Certificate of Mailing

I hereby certify that the foregoing document is being deposited with the United States Postal Service, postage prepaid, first class mail, in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 12th day of November, 2004.


Cathy A. O'Brien

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

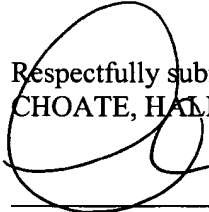
Enclosed please find the following in connection with the above-referenced patent application:

Written Assertion of Small Entity Status Under 37 CFR §1.27(a)(3)
Non Profit Organization; and a return postcard.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 03-1721**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-4042.

Respectfully submitted,
CHOATE, HALL & STEWART


Anne E. Saturnelli
Registration No. 41,290

November 12, 2004
Date

Patent Group
Choate, Hall & Stewart
Exchange Place
53 State Street
Boston, MA 02109
Tel: (617) 248-5000
Fax: (617) 248-4000